

**EMPLOYEE DECLARATION FOR  
PATENT APPLICATION**

As below named inventors, we hereby declare that:

Our residence, post office address, and citizenship are as stated below.

The below named inventors are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Novel Reagent Compounds and Methods of Making and Using the Same**

the specification of which: (check one)

  X   is attached.  
was filed on April 14, 2004  
under Attorney's Docket Number 123029-2009  
as PCT Application Serial No. PCT/US04/11489  
and was amended on \_\_\_\_\_ (if applicable).

We have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability, as defined in 37 C.F.R. § 1.56.

Under 35 U.S.C. §§119 & 120, I claim the benefit of any United States application(s) listed below:

Application No.	Filing Date	Status (patented, pending, abandoned)
60/462,753	April 14, 2003	Abandoned
PCT/US04/11489	April 14, 2004	Pending

Under 35 U.S.C. § 119 and 37 C.F.R. § 1.55, I claim foreign priority benefits of any foreign application(s) for patent or inventor's certificate listed below:

Application No.	Country	Filing Date: Day - Month – Year

{If claiming priority based on an inventor's certificate listed above}

Upon an investigation, we are satisfied that to the best of our knowledge, the applicant, when filing the application for the inventor's certificate, had the option to file an application for either a patent or an inventor's certificate as to the subject matter of the identified claim or claims forming the basis for the claim of priority.

We have also identified below any foreign application for patent or inventor's certificate having a filing date before the filing date of the application on which priority is claimed:

Application No.	Country	Filing Date: Day - Month – Year

We declare that all statements made based on our own knowledge are true, and that all statements made on information and belief are believed to be true. We make these statements with the knowledge that willful false statements are punishable by fine or imprisonment, or both, under 18 U.S.C. § 1001, and that such willful false statements may jeopardize the validity of this application or any patent issued on it.

DOCKET NO.: 123029-1057 (UHID 2003-031)

Patent

Signed:

FULL NAME OF INVENTOR: **Xiaolian Gao**

INVENTOR'S SIGNATURE: \_\_\_\_\_

DATED: \_\_\_\_\_

10/5/05

RESIDENCE: Houston, Texas

CITIZENSHIP: USA

POST OFFICE ADDRESS:

University of Houston  
4800 Calhoun Road  
Houston, Texas 77204

DOCKET NO.: 123029-1057 (UHID 2003-031)

Patent

FULL NAME OF INVENTOR: **Peilin Yu**

INVENTOR'S SIGNATURE: Peilin Yu DATED: 10/10/05

RESIDENCE: Houston, Texas

CITIZENSHIP: USA

POST OFFICE ADDRESS: University of Houston  
4800 Calhoun Road  
Houston, Texas 77204

10/553328

PTO/SB/96 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## STATEMENT UNDER 37 CFR 3.73(b)

1020 Rec'd PCT/PTO 14 OCT 2005

Applicant/Patent Owner: Xiaolian Gao et al.Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: October 14, 2005Entitled: Novel Reagent Compounds and Methods of Making and Using the SameUniversity of Houston, auniversity

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015282, Frame 0296, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

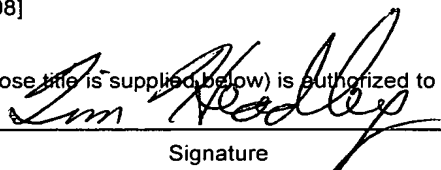
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

10-14-05

Date

Tim Headley (Attv. Dkt. No. 123029-1057 (UHID 2003-031))

Printed or Typed Name

713 276 5320

Telephone Number

Attorney for Applicant

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

**POWER OF ATTORNEY TO PROSECUTE  
APPLICATIONS BEFORE THE USPTO**

I hereby appoint practitioners associated with Customer No. 37058, all of the firm of GARDERE WYNNE SEWELL LLP, as attorneys or agents to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignment documents attached to this form in accordance with 37 CFR 3.73(b).

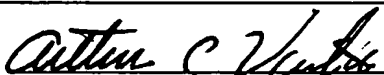
University of Houston  
316 E Cullen Building  
Houston, TX 77204-2015

Attached to this form is a statement under 37 CFR 3.73(b).

**SIGNATURE of Assignee of Record**

The individual whose signature and title is supplied below is authorized to act on behalf of Assignee.

Name: Arthur C. Vailas Ph.D.

Signature: 

Date: 3/30/05

Title: Vice Chancellor for Research & Intellectual  
Property Management, UH System

Telephone: 713-743-9104



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u of H

1020 Rec'd PCT/PTO 14 OCT 2005

**UNITED STATES PATENT AND TRADEMARK OFFICE**

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 26, 2004

PTAS



\*102737433A\*

GARDERE WYNNE SEWELL LLP  
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**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/30/2004

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NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 1230291022

ASSIGNOR:  
GAO, XIAOLIAN

DOC DATE: 04/14/2004

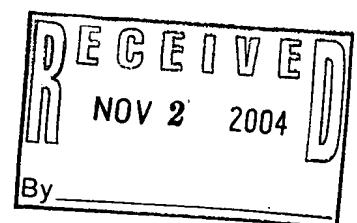
ASSIGNOR:  
YU, PEILIN

DOC DATE: 04/23/2004

ASSIGNEE:  
UNIVERSITY OF HOUSTON  
4800 CALHOUN ROAD  
HOUSTON, TEXAS 77204-2015

SERIAL NUMBER: 60462753  
PATENT NUMBER:  
TITLE: EFFECTIVE CAPPING REAGENTS

FILING DATE: 04/14/2003  
ISSUE DATE:



10/553328

015282/0296 PAGE 2

JC20 Rec'd P&T/PD 14 OCT 2009

SHARON BROOKS, EXAMINER  
ASSIGNMENT DIVISION  
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